

# Foundations of Query Languages

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## Problemes

Let  $Q, Q_1, Q_2$  be conjunctive queries.

**Containment:**  $Q_1 \sqsubseteq Q_2$ , i.e.,  $Q_1(\mathcal{I}) \subseteq Q_2(\mathcal{I})$  for any instance  $\mathcal{I}$ ?

**Equivalence:**  $Q_1 \equiv Q_2$ , i.e.,  $Q_1 \sqsubseteq Q_2$  and  $Q_2 \sqsubseteq Q_1$ ?

**Minimization:** Given  $Q_1$ , construct an equivalent query  $Q_2$ , which has as most as much subgoals in its body as  $Q_1$  and is minimal in the sense, that any query  $Q_3$  being equivalent to  $Q_2$  has at least as much subgoals in the body as  $Q_2$ .

$Q_2$  is called *minimal*.

## Example

$Sales(Part, Supplier, Customer),$   
 $Part(PName, Type),$   
 $Cust(CName, CAddr),$   
 $Supp(SName, SAddr).$

Equivalent queries:

$Q : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A)$

$Q' : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A),$   
 $\quad Sales(P', S', C'), Part(P', T)$

## Lemma

Let

$$\begin{aligned} Q_1 : \quad ans(\vec{U}) &\leftarrow R_1(\vec{U}_1), \dots, R_n(\vec{U}_n) \\ Q_2 : \quad ans(\vec{U}) &\leftarrow S_1(\vec{V}_1), \dots, S_m(\vec{V}_m) \end{aligned}$$

be conjunctive queries, where

$$\{R_1(\vec{U}_1), \dots, R_n(\vec{U}_n)\} \supseteq \{S_1(\vec{V}_1), \dots, S_m(\vec{V}_m)\}$$

Then  $Q_1 \sqsubseteq Q_2$ .

## Substitution

- A *substitution*  $\theta$  over a set of variables  $\mathcal{V}$  is a mapping from  $\mathcal{V}$  to  $\mathcal{V} \cup \text{dom}$ , where **dom** a corresponding domain.
- We extend  $\theta$  to constants  $a \in \text{dom}$  and relation names  $R \in \mathcal{R}$ , where  $\theta(a) = a$ , resp.  $\theta(R) = R$ .

## Example

Consider

$Q : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A)$

$Q' : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A),$   
 $\qquad\qquad\qquad Sales(P', S', C'), Part(P', T)$

and  $\theta$ :

$X$	$P$	$P'$	$S$	$S'$	$C$	$C'$	$T$	$A$
$\theta(X)$	$P$	$P$	$S$	$S$	$C$	$C$	$T$	$A$

## Containment Mapping

Given conjunctive queries

$$\begin{aligned} Q_1 : \quad ans(\vec{U}) &\leftarrow R_1(\vec{U}_1), \dots, R_n(\vec{U}_n) \\ Q_2 : \quad ans(\vec{V}) &\leftarrow S_1(\vec{V}_1), \dots, S_m(\vec{V}_m) \end{aligned}$$

Substitution  $\theta$  is called *containment mapping* (a.k.a. Homomorphism) from  $Q_2$  to  $Q_1$ , if  $Q_2$  can be transformed by means of  $\theta$  to become  $Q_1$ :

- $\theta(ans(\vec{V})) = ans(\vec{U})$ ,
- for  $i = 1, \dots, m$  there exists a  $j \in \{1, \dots, n\}$ , such that  $\theta(S_i(\vec{V}_i)) = R_j(\vec{U}_j)$ .

## Example

$Q : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A)$

$Q' : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A),$   
 $\quad Sales(P', S', C'), Part(P', T)$

$\theta$ :

$X$	$P$	$P'$	$S$	$S'$	$C$	$C'$	$T$	$A$
$\theta(X)$	$P$	$P$	$S$	$S$	$C$	$C$	$T$	$A$

$\theta$  is a containment mapping.

## Theorem

Let

$$\begin{array}{ll} Q_1 : & \text{ans}(\vec{U}) \leftarrow R_1(\vec{U}_1), \dots, R_n(\vec{U}_n) \\ Q_2 : & \text{ans}(\vec{V}) \leftarrow S_1(\vec{V}_1), \dots, S_m(\vec{V}_m) \end{array}$$

be conjunctive queries.

$Q_1 \sqsubseteq Q_2$  iff there exists a containment mapping  $\theta$  from  $Q_2$  to  $Q_1$ .

## Proof " $\Leftarrow$ ":

There exists containment mapping  $\theta$ .

Let  $\mathcal{I}$  be an instance of  $Q_1$  and let  $\mu \in Q_1(\mathcal{I})$ .

There exists a substitution  $\tau$ , such that  $\tau(\vec{U}_j) \in \mathcal{I}(R_j)$ ,  $j \in \{1, \dots, n\}$  and  $\mu = \tau(\vec{U})$ .

Consider a substitution  $\tau' = \tau \circ \theta$  and further  $\tau'(S_i(\vec{V}_i))$ .

There holds  $\tau'(\vec{V}_i) \in \mathcal{I}(S_i)$ ,  $i \in \{1, \dots, m\}$  and therefore also  $\mu = \tau'(\vec{V})$ .

That is,  $\mu \in Q_2(\mathcal{I})$ .

## Canonical Instance

Let  $Q$  be a conjunctive  $\text{ans}(\vec{U}) \leftarrow R_1(\vec{U}_1), \dots, R_n(\vec{U}_n)$  over a database schema  $\mathcal{R}$ . The *canonical instance*  $\mathcal{I}_Q$  to  $Q$  is constructed as follows.

$\mathcal{I}_Q$  is an instance of  $\mathcal{R} = \{R_1, \dots, R_n\}$ .

Let  $\tau$  be a substitution, which assigns to any  $X$  in  $Q$  a unique constant  $a_X$ .

- For any literal  $R(t_1, \dots, t_n)$  in the body, insert a tuple of the form  $(\tau(t_1), \dots, \tau(t_n))$  into  $\mathcal{I}_Q(R)$ ; we also write  $\tau(R(t_1, \dots, t_n)) \in \mathcal{I}_Q(R)$ .  
No other tuples are inserted into  $\mathcal{I}_Q(R)$ .

$\tau$  is called *canonical substitution*.

## Example

$Q : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A)$   
 $Q' : \quad ans(T) \leftarrow Sales(P, S, C), Part(P, T), Cust(C, A), Supp(S, A),$   
 $\qquad\qquad\qquad Sales(P', S', C'), Part(P', T)$

$\mathcal{I}_Q :$

<i>Sales</i>			<i>Part</i>		<i>Cust</i>		<i>Supp</i>	
$a_P$	$a_S$	$a_C$	$a_P$	$a_T$	$a_C$	$a_A$	$a_S$	$a_A$

$\mathcal{I}_{Q'} :$

<i>Sales</i>			<i>Part</i>		<i>Cust</i>		<i>Supp</i>	
$a_P$	$a_S$	$a_C$	$a_P$	$a_T$	$a_C$	$a_A$	$a_S$	$a_A$
$a_{P'}$	$a_{S'}$	$a_{C'}$	$a_{P'}$	$a_T$				

## Proof " $\Rightarrow$ ":

$Q_1 \sqsubseteq Q_2$ .

Consider  $\mathcal{I}_{Q_1}$  and the corresponding canonical substitution  $\tau$ .

Then  $\tau(\text{ans}(\vec{U})) \in Q_1(\mathcal{I}_{Q_1})$ .

Because of  $Q_1 \sqsubseteq Q_2$  further  $\tau(\text{ans}(\vec{U})) \in Q_2(\mathcal{I}_{Q_1})$ .

Thus, there exists a substitution  $\rho$ , such that  $\rho(S_i(\vec{V}_i)) = \tau(R_j(\vec{U}_j))$ ,  $1 \leq i \leq m$ ,  $j \in \{1, \dots, n\}$  und  $\rho(\text{ans}(\vec{V})) = \tau(\text{ans}(\vec{U}))$ .

$\tau^{-1} \circ \rho$  is a containment mapping.

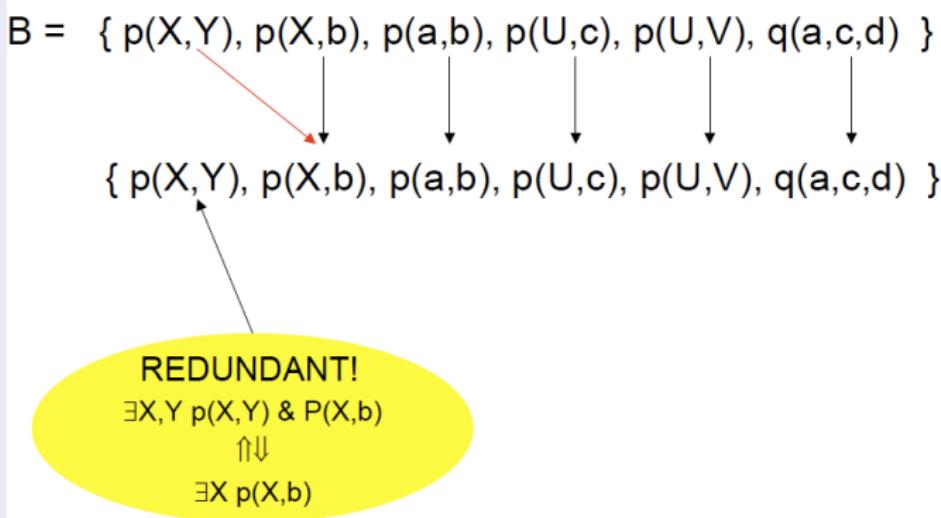
## CQ minimization

- A conjunctive query  $Q$  is minimal, if it contains minimal number of subgoals (subgoal = body atom)
- This means: whenever we drop an atom from the body of  $Q$ , we get a query  $Q'$ , such that  $Q' \neq Q$ .
- The task of the CQ minimization: minimize the number of subgoals of a given CQ.

## Example

$true \leftarrow p(X, Y), p(X, b), p(a, b), p(U, c), p(U, V), q(a, c, d).$

## Example



Containment mapping:  $h : Y \rightarrow b$ .

## Example

$B = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$

~~$p(X,Y)$~~

REDUNDANT!

$\exists X, Y \ p(X, Y)$

↑

$\exists X \ p(X, b)$

Containment mapping:  $h : Y \rightarrow b$ .

## Example

$$\begin{array}{lcl} B & = & \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ \Leftrightarrow & & \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow \\ h(B) & = & \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \end{array}$$

Containment mapping:  $h : Y \rightarrow b$ .

## Example

$$\begin{aligned} B &= \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ \Leftrightarrow \\ h(B) &= \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \end{aligned}$$

$\downarrow \qquad \downarrow \qquad \downarrow \qquad \downarrow \qquad \downarrow$

$\uparrow \qquad \uparrow \qquad \uparrow \qquad \uparrow$

$X \rightarrow a \qquad V \rightarrow c$

Containment mapping:  $g : X \rightarrow a, V \rightarrow c$ .

## Example

$$\begin{array}{lcl} B & = & \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ \Leftrightarrow & & \\ h(B) & = & \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ & & \downarrow \quad \downarrow \quad \downarrow \\ & & \{ p(a,b), p(U,c), q(a,c,d) \} \end{array}$$

Containment mapping:  $g : X \rightarrow a, V \rightarrow c$ .

## Example

$$\begin{aligned}
 B &= \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\
 &\Leftrightarrow \\
 h(B) &= \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\
 &\Leftrightarrow \\
 f(B) = g(h(B)) = g \circ h(B) &= \{ p(a,b), p(U,c), q(a,c,d) \}
 \end{aligned}$$

Containment mapping:  $f : Y \rightarrow b, X \rightarrow a, V \rightarrow c$ .

## Example

$B = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$   
 $\Leftrightarrow$   
 $h(B) = \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$   
 $\Leftrightarrow$   
 $f(B)=g(h(B))=g \circ h(B)=\boxed{\{ p(a,b), p(U,c), q(a,c,d) \}}$

**Core(I)**  
unique up to variable-renaming!

Containment mapping:  $f : Y \rightarrow b, X \rightarrow a, V \rightarrow c$ .

## Minimization algorithm

- Can we just check the subgoals one by one? (if one subgoal can not be removed at a certain moment, could it be removed at a later moment?)
- Does the sequence of the elimination count? (or, is the minimized query unique?)

## Minimization algorithm

- Can we just check the subgoals one by one?  
Yes. Take the subgoal  $u$ , in a CQ  $Q_1$ , check whether there is a containment mapping  $h$ , such that  $h(Q_1) \subseteq (Q_1 - u)$ . If there exists such a containment mapping, then  $u$  can be removed. Otherwise,  $u$  can not be removed forever. Because  $Q_1$  is getting smaller.
- Does the sequence of the elimination count? (or, is the minimized query unique?)  
–homework